



Customer Number: 000959
Attorney's Docket No. D/A0978/XXT-116

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Sudhendu Rai and Daniel Viassolo

Serial No.: 09/772,118

Filing Date: January 26, 2001

Title: PRODUCTION SERVER ARCHITECTURE AND METHODS FOR
AUTOMATED CONTROL OF PRODUCTION DOCUMENT MANAGEMENT

I hereby certify that this correspondence is being deposited with the United States
Postal Service as first class mail in an envelope addressed to: Box Missing Parts,
Commissioner for Patents, Washington, DC 20231 on the date set forth below.

April 10, 2001 _____ By Peter Stecher
Date of Signature _____ Peter S. Stecher
and of Mail Deposit _____ Registration No. 47,259

Box Missing Parts
Commissioner for Patents
Washington, DC 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF
NON-PROVISIONAL APPLICATION**

Dear Sir:

Responsive to the Notice to File Missing Parts of Non-Provisional Application dated
March 8, 2001, Applicants' attorney submit herewith the executed Declaration and Power of
Attorney for Patent Application for the above-identified patent application. Please charge
Deposit Account No. 24-0037 for the \$130.00 surcharge fee based on large entity status.

Serial No. 09/772,118

Please charge any underpayments or credit any overpayments associated with this communication to Deposit Account No. 24-0037. A duplicate of this letter is enclosed.

Respectfully submitted,

Peter Stecher
Peter S. Stecher
Registration No. 47,259

LAHIVE & COCKFIELD, LLP
28 State Street
Boston, MA 02109
Tel. (617) 227-7400
Dated: April 10, 2001



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

(3)

In re the application of: Sudhendu Rai and Daniel Viassolo

Serial No.: 09/772,118

Filed: January 26, 2001

For: PRODUCTION SERVER ARCHITECTURE
AND METHODS FOR AUTOMATED CONTROL
OF PRODUCTION DOCUMENT MANAGEMENT

Attorney Docket No.: D/A0978/XXT-116

Group Art Unit: 2176

Examiner: Not Yet Assigned

Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

REQUEST FOR CORRECTION OF FILING RECEIPT

Dear Sir:

The Filing Receipt for the above-identified patent application incorrectly lists the Attorney's Docket Number as "XXT-116" instead of "D/A0978/XXT-116". Also, the address for Daniel Viassolo is Penfield, NY. A copy of the Filing Receipt with the changes noted thereon is submitted herewith.

I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, DC 20231 on:

April 10, 2001

Date

Peter Stecher

Peter S. Stecher, Reg. No. 47,259

Respectfully submitted,

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Peter Stecher

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PSS/amg
Enclosures



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE RECD | ATTY.DOCKET.NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|--------------|---------------------------|----------|------------|------------|
| 09/772,118 | 01/26/2001 | 2176 | 1496 | XXT-116 DA0978/XXT-116 | 15 | 37 | 9 |

000959
LAHIVE & COCKFIELD
28 STATE STREET
BOSTON, MA 02109

FILING RECEIPT



OC000000005840938

CONFIRMATION NO. 8987

Date Mailed: 03/08/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

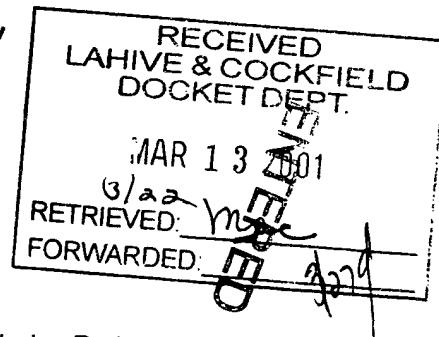
Applicant(s)

Sudhendu Rai, Airport, NY;
Daniel Viassolo, ~~Residence Not Provided~~, Penfield, NY

Continuing Data as Claimed by Applicant

Foreign Applications

If Required, Foreign Filing License Granted 03/08/2001



Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Production server architecture and methods for automated control of production document management

Preliminary Class

707



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&

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* Admitted in NY only
** Passed the Patent Bar Examination

April 10, 2001

Box Missing Parts
Commissioner for Patents
Washington, DC 20231

Re: U.S. Patent Application Serial No. 09/772,118
Applicants: Sudhendu Rai and Daniel Viassolo
Filed: January 26, 2001
Title: PRODUCTION SERVER ARCHITECTURE AND METHODS FOR
AUTOMATED CONTROL OF PRODUCTION DOCUMENT MANAGEMENT
Attorney Docket No.: D/A0978/XXT-116

Dear Sir:

I enclose herewith for filing in the above-identified application the following:

1. Response to Notice To File Missing Parts of Application;
2. Executed Declaration and Power of Attorney;
3. Copy of Notice To File Missing Parts of Application;
4. Request for Correction of Filing Receipt;
5. Marked up copy of Filing Receipt; and
6. Return Postcard.

Please charge any necessary fees to our Deposit Account No. 24-0037. The undersigned requests any extensions of time necessary to respond. A duplicate of this sheet is enclosed.

I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, DC 20231 on:

April 10, 2001

Date

Peter Stecher

Peter S. Stecher, Reg. No. 47,259

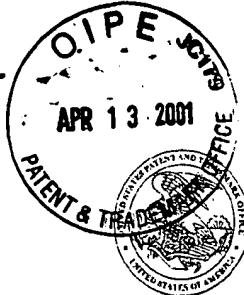
Respectfully submitted,

LAHIVE & COCKFIELD, LLP

Peter Stecher

Peter S. Stecher
Reg. No. 47,259

PSS/amg
Enclosures



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/772,118 | 01/26/2001 | Sudhendu Rai | XXT-116 |

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LAHIVE & COCKFIELD
28 STATE STREET
BOSTON, MA 02109

CONFIRMATION NO. 8987

FORMALITIES LETTER



OC000000005840939

Date Mailed: 03/08/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a):

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/16/2001 JAD001 00000050 240037 09772118

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